



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Zhoug Zhoug, et al.

Application No.: 09/663,306

Filing Date: September 15, 2000

For: CELL BASED ASSAY

Confirmation No.: 2391

Group Art Unit: 1653

Examiner: Karen C. Carlson

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DATE OF DEPOSIT: November 18, 2004

EL998519239US

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☒ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **30-34** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

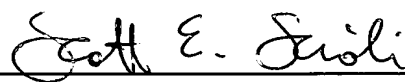
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

- ☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: *November 18, 2004*



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DOCKET NO.: JJPR-0013

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Dear Sir:

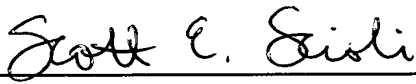
CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☒ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after

making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: *November 18, 2004*



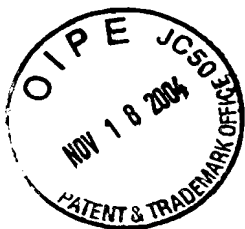
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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. JJPR-0013	Application No. 09/663,306
		Applicant Zhong Zhong, et al.	
		Filing Date September 15, 2000	Group 1653
		Confirmation No. 2391	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
*	30	Green, S., et al., "Oestradiol induction of a glucocorticoid-responsive gene by a chimaeric receptor," <i>Nature</i> , 1987 , 325, 75-78	
*	31	Kamogawa, Y., et al., "Cutting edge: a conditionally active form of STAT6 can mimic certain effects of IL-4," <i>J. Immunol.</i> , 1987 , 161(3), 1074-1077	
*	32	Milocco, L.H., et al., "Design of conditionally active STATs: insights into STAT activation and gene regulatory function," <i>Molecular & Cellular Biology</i> , 1999 , 19(4), 2913-2920	
*	33	Superti-Furga, G., et al., "Hormone-dependent transcriptional regulation and cellular transformation by Fos-steroid receptor fusion proteins," <i>Proc. Natl. Acad. Sci. USA</i> , 1991 , 88, 5114-5118	
EXAMINER		DATE CONSIDERED	

* Listed on the Supplementary European Search Report dated September 15, 2004.



Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
JJPR-0013

Application No.
09/663,306

Applicant
Zhong Zhong, et al.

Filing Date
September 15,
2000

Group
1653

Confirmation No.
2391

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
*	34	WO 89/05355 A1	06/15/89	PCT		

EXAMINER

DATE CONSIDERED

* Listed on the Supplementary European Search Report dated September 15, 2004.